

# A Chomsky-Schützenberger representation for weighted multiple context-free languages

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## Abstract

We prove a Chomsky-Schützenberger representation theorem for weighted multiple context-free languages.

## 1 Introduction

Mildly context-sensitive languages receive much attention in the natural language processing community (Kallmeyer, 2010). Many classes of mildly context-sensitive languages are subsumed by the multiple context-free languages, e.g. the languages of head grammars, linear context-free rewriting systems (Seki et al., 1991), combinatory categorical grammars (Vijay-Shanker et al., 1986; Weir and Joshi, 1988), linear indexed grammars (Vijay-Shanker, 1987), minimalist grammars, (Michaelis, 2001a; Michaelis, 2001b), and finite-copying lexical functional grammars (Seki et al., 1993).

The Chomsky-Schützenberger (CS) representation for context-free languages (Chomsky and Schützenberger, 1963, Prop. 2) has recently been generalised to quantitative context-free languages (Droste and Vogler, 2013) and to (unweighted) multiple context-free languages (Yoshinaka et al., 2010). In order to obtain a CS representation for multiple context-free languages, Yoshinaka et al. (2010) introduce multiple Dyck languages.

We give a more algebraic definition of multiple Dyck languages using congruence relations together with a decision algorithm for membership that is strongly related to these congruence relations (Sec. 3). We then provide a CS representation for weighted multiple context-free languages (Sec. 4).

## 2 Preliminaries

In this section we briefly recall formalisms used in this paper and fix some notation.

We denote by  $\mathbb{N}$  the set of natural numbers (including zero). For every  $n \in \mathbb{N}$  we abbreviate  $\{1, \dots, n\}$  by  $[n]$ . Let  $A$  be a set. The *power set*

of  $A$  is denoted by  $\mathcal{P}(A)$ . Let  $B$  be a finite set. A *partitioning of  $B$*  is a set  $\mathfrak{P} \subseteq \mathcal{P}(B)$  where the elements of  $\mathfrak{P}$  are non-empty, pairwise disjoint, and  $\bigcup_{p \in \mathfrak{P}} p = B$ .

Let  $S$  be a countable set (of *sorts*) and  $s \in S$ . An  *$S$ -sorted set* is a tuple  $(B, \text{sort})$  where  $B$  is a set and *sort* is a function from  $B$  to  $S$ . We denote the preimage of  $s$  under *sort* by  $B_s$  and abbreviate  $(B, \text{sort})$  by  $B; \text{sort}$  will always be clear from the context. An  *$S$ -ranked set* is an  $(S^* \times S)$ -sorted set.

Let  $A$  and  $B$  be sets. The *set of functions from  $A$  to  $B$*  is denoted by  $B^A$ . Let  $f$  and  $g$  be functions. The *domain and range of  $f$*  are denoted by  $\text{dom}(f)$  and  $\text{rng}(f)$ , respectively. We denote the function obtained by applying  $g$  after  $f$  by  $g \circ f$ . Let  $F$  be a set of functions and  $B \subseteq \bigcap_{f \in F} \text{dom}(f)$ . The set  $\{f(B) \mid f \in F\} \subseteq \mathcal{P}(\text{rng}(f))$  is denoted by  $F(B)$ . Let  $G$  and  $H$  be sets of functions. The set  $\{h \circ g \mid h \in H, g \in G\}$  of functions is denoted by  $H \circ G$ .

We use the notion of nondeterministic finite automata with extended transition function (short: FSA) from Hopcroft and Ullman (1979, Sec. 2.3).

### 2.1 Weight algebras

A *monoid* is an algebra  $(\mathcal{A}, \cdot, 1)$  where  $\cdot$  is associative and  $1$  is neutral with respect to  $\cdot$ . A *bimonoid* is an algebra  $(\mathcal{A}, +, \cdot, 0, 1)$  where  $(\mathcal{A}, +, 0)$  and  $(\mathcal{A}, \cdot, 1)$  are monoids. We call a bimonoid *strong* if  $(\mathcal{A}, +, 0)$  is commutative and for every  $a \in \mathcal{A}$  we have  $0 \cdot a = 0 = a \cdot 0$ . Intuitively, a strong bimonoid is a semiring without distributivity. A strong bimonoid is called *commutative* if  $(\mathcal{A}, \cdot, 1)$  is commutative. A commutative strong bimonoid is *complete* if there is an infinitary sum operation  $\sum$  that maps every indexed family of elements of  $\mathcal{A}$  to  $\mathcal{A}$ , extends  $+$ , and satisfies infinitary associativity and commutativity laws; cf. Droste and Vogler (2013, Sec. 2). For the rest of this paper let  $(\mathcal{A}, +, \cdot, 0, 1)$ , abbreviated by  $\mathcal{A}$ , be a complete commutative strong bimonoid.

**Example 1.** We provide a list of complete commutative strong bimonoids (cf. Droste et al. (2010, Ex. 1)) some of which are relevant for natural language processing:

- Any complete commutative semiring, e.g. the *Boolean semiring*  $\mathbb{B} = (\{0, 1\}, \vee, \wedge, 0, 1)$ , the *probability semiring*  $\text{Pr} = (\mathbb{R}_{\geq 0}, +, \cdot, 0, 1)$ , the *Viterbi semiring*  $([0, 1], \max, \cdot, 0, 1)$ , the *tropical semiring*  $(\mathbb{R} \cup \{\infty\}, \min, +, \infty, 0)$ ,
- any complete lattice,
- the *tropical bimonoid*

$$(\mathbb{R}_{\geq 0} \cup \{\infty\}, +, \min, 0, \infty), \text{ and}$$

- the algebra  $([0, 1], \oplus, \cdot, 0, 1)$  with  $\oplus$  being defined for every  $a, b \in [0, 1]$  as either  $a \oplus b = a + b - a \cdot b$  or  $a \oplus b = \min\{a + b, 1\}$ ,

where  $\mathbb{R}$  and  $\mathbb{R}_{\geq 0}$  denote the set of reals and the set of non-negative reals, respectively, and  $+$ ,  $\cdot$ ,  $\max$ ,  $\min$ ,  $\wedge$ ,  $\vee$  denote the usual operations.  $\square$

An  $\mathcal{A}$ -weighted language (over  $\Delta$ ) is a function  $L: \Delta^* \rightarrow \mathcal{A}$ . The *support* of  $L$ , denoted by  $\text{supp}(L)$ , is  $\{w \in \Delta^* \mid L(w) \neq 0\}$ . If  $|\text{supp}(L)| \leq 1$ , we call  $L$  a *monome*. We write  $\mu.w$  for  $L$  if  $L(w) = \mu$  and for every  $w' \in \Delta^* \setminus \{w\}$  we have  $L(w') = 0$ .

## 2.2 Weighted string homomorphisms

Let  $\Delta$  and  $\Gamma$  be alphabets and  $g: \Delta \rightarrow \mathcal{A}^{\Gamma^*}$  such that  $g(\delta)$  is a monome for every  $\delta \in \Delta$ . We define  $\hat{g}: \Delta^* \rightarrow \mathcal{A}^{\Gamma^*}$  where for every  $k \in \mathbb{N}$ ,  $w_1, \dots, w_k \in \Delta$ , and  $u \in \Gamma^*$  we have

$$\hat{g}(w_1 \cdots w_k)(u) = \sum_{\substack{u_1, \dots, u_k \in \Gamma^* \\ u = u_1 \cdots u_k}} \prod_{i=1}^k g(w_i)(u_i).$$

We call  $\hat{g}$  an  $\mathcal{A}$ -weighted (string) homomorphism. An  $\mathcal{A}$ -weighted homomorphism  $h: \Delta^* \rightarrow \mathcal{A}^{\Gamma^*}$  is *alphabetic* if there is a function  $h': \Delta \rightarrow \mathcal{A}^{\Gamma \cup \{\varepsilon\}}$  with  $h = \hat{h}'$ .

Now assume that  $\mathcal{A} = \mathbb{B}$  and for every  $\delta \in \Delta$  we have  $|\text{supp}(g(\delta))| = 1$ . Then  $g$  can be construed as a function from  $\Delta$  to  $\Gamma^*$  and  $\hat{g}$  can be construed as a function from  $\Delta^*$  to  $\Gamma^*$ . In this case we call  $\hat{g}$  a (string) homomorphism. If moreover,  $g$  is a function from  $\Delta$  to  $\Gamma \cup \{\varepsilon\}$ , we call  $\hat{g}$  *alphabetic*.

The sets of all  $\mathcal{A}$ -weighted homomorphisms,  $\mathcal{A}$ -weighted alphabetic homomorphisms, homomorphisms, and alphabetic homomorphisms are denoted by  $\text{HOM}(\mathcal{A})$ ,  $\alpha\text{HOM}(\mathcal{A})$ ,  $\text{HOM}$ , and  $\alpha\text{HOM}$ , respectively.

## 2.3 Weighted multiple context-free languages

We fix a set  $X = \{x_i^j \mid i, j \in \mathbb{N}\}$  of *variables*. Variables serve as placeholders for strings. The *set of string functions over  $\Delta$*  is the  $\mathbb{N}$ -ranked set  $F_\Delta$  where for every  $\ell, s_1, \dots, s_\ell, s \in \mathbb{N}$  we have that  $(F_\Delta)_{(s_1 \dots s_\ell, s)}$  is the set of functions  $f: (\Delta^*)^{s_1} \times \dots \times (\Delta^*)^{s_\ell} \rightarrow (\Delta^*)^s$  that are defined by some equation of the form  $f(x_1, \dots, x_\ell) = (u_1, \dots, u_s)$  where  $x_i = (x_i^1, \dots, x_i^{s_i})$  for every  $i \in [\ell]$ ,  $X_f = \{x_i^j \mid i \in [\ell], j \in [s_i]\}$ , and  $u_1, \dots, u_s \in (\Delta \cup X_f)^*$ .

In this situation, we define the *rank* of  $f$ , denoted by  $\text{rank}(f)$ , and the *fan-out* of  $f$ , denoted by  $\text{fan-out}(f)$ , as  $\ell$  and  $s$ , respectively. The string function  $f$  is called *linear* if in  $u_1 \cdots u_s$  every element of  $X_f$  occurs at most once,  $f$  is called *non-deleting* if in  $u_1 \cdots u_s$  every element of  $X_f$  occurs at least once, and  $f$  is called *terminal-free* if  $u_1, \dots, u_s \in X_f^*$ . If  $f$  is non-deleting, it is uniquely determined by the string  $[u_1, \dots, u_s]$ . We may therefore write  $[u_1, \dots, u_s]$  for  $f$ .

Note that for every  $s' \in \mathbb{N}^* \times \mathbb{N}$ , the set of linear terminal-free string functions of sort  $s'$  is finite.

**Definition 2.** A *multiple context-free grammar (MCFG)* is a tuple  $(N, \Delta, I, P)$  where  $N$  is a finite  $\mathbb{N}$ -sorted set (*non-terminals*),  $I \subseteq N_1$  (*initial non-terminals*), and  $P \subseteq_{\text{fin}} \{(A, f, A_1 \cdots A_\ell) \in N \times F_\Delta \times N^\ell \mid \text{sort}(f) = (\text{sort}(A_1) \cdots \text{sort}(A_\ell), \text{sort}(A)), f \text{ is linear}, \ell \in \mathbb{N}\}$  (*productions*). We construe  $P$  as an  $\mathbb{N}$ -ranked set where for every  $\rho = (A, f, A_1 \cdots A_\ell) \in P$  we have  $\text{sort}(\rho) = \text{sort}(f)$ .  $\square$

Let  $G = (N, \Delta, I, P)$  be an MCFG and  $w \in \Delta^*$ . A production  $(A, f, A_1 \cdots A_\ell) \in P$  is usually written as  $A \rightarrow f(A_1, \dots, A_\ell)$ ; it inherits rank and fan-out from  $f$ . Also,  $\text{rank}(G) = \max_{\rho \in P} \text{rank}(\rho)$  and  $\text{fan-out}(G) = \max_{\rho \in P} \text{fan-out}(\rho)$ . MCFGs of fan-out at most  $k$  are called  $k$ -MCFGs. The productions of  $G$  form a context-free grammar  $G'$  with the elements of  $F_\Delta$  and  $(', ')$ , and  $;', '$  as terminal symbols,  $N$  as the set of non-terminals, and  $I$  as the set of initial non-terminals. A word in the language of  $G'$  is a term over  $F_\Delta$  and can be evaluated to a word in  $\Delta^*$ . The *set of derivations of  $w$  in  $G$* , denoted by  $D_G(w)$ , is the set of abstract syntax trees in  $G'$  whose corresponding words are evaluated to  $w$ . The *language of  $G$*  is  $L(G) = \{w \in \Delta^* \mid D_G(w) \neq \emptyset\}$ . A language  $L$  is *multiple context-free* if there is an MCFG  $G$  with  $L = L(G)$ . The *set of multiple context-free languages (for which a  $k$ -MCFG*

exists) is denoted by MCFL ( $k$ -MCFL, respectively).

Let  $k \in \mathbb{N}$ . The class  $k$ -MCFL is a substitution-closed full abstract family of languages (Seki et al., 1991, Thm. 3.9). In particular,  $k$ -MCFL is closed under intersection with regular languages and under homomorphisms.

**Definition 3.** An  $\mathcal{A}$ -weighted MCFG is a tuple  $(N, \Delta, I, P, \mu)$  where  $(N, \Delta, I, P)$  is an MCFG and  $\mu: P \rightarrow \mathcal{A}$  (weight function).  $\square$

Let  $G = (N, \Delta, I, P, \mu)$  be an  $\mathcal{A}$ -weighted MCFG and  $w \in \Delta^*$ . The set of derivations of  $w$  in  $G$  is the set of derivations of  $w$  in  $(N, \Delta, I, P)$ .  $G$  inherits fan-out from  $(N, \Delta, I, P)$ ;  $\mathcal{A}$ -weighted MCFGs of fan-out at most  $k$  are called  $\mathcal{A}$ -weighted  $k$ -MCFGs. We apply  $\mu$  to derivations by applying it at every position (of the derivation) and then multiplying the resulting values (in any order, since  $\cdot$  is commutative).

The  $\mathcal{A}$ -weighted language induced by  $G$  is the function  $\llbracket G \rrbracket: \Delta^* \rightarrow \mathcal{A}$  where for every  $w \in \Delta^*$  we have  $\llbracket G \rrbracket(w) = \sum_{d \in D_G(w)} \mu(d)$ . Two ( $\mathcal{A}$ -weighted) MCFGs are *equivalent* if they induce the same ( $\mathcal{A}$ -weighted) language. An  $\mathcal{A}$ -weighted language  $L$  is *multiple context-free and of fan-out  $k$*  if there is an  $\mathcal{A}$ -weighted  $k$ -MCFG  $G$  such that  $L = \llbracket G \rrbracket$ ;  $k$ -MCFL( $\mathcal{A}$ ) denotes the set of multiple context-free  $\mathcal{A}$ -weighted languages of fan-out  $k$ .

**Example 4.** Consider the Pr-weighted MCFG  $G = (\{S, A, B\}, \Delta, \{S\}, \{\rho_1, \dots, \rho_5\}, \mu)$  where  $\Delta = \{a, b, c, d\}$ ,  $\text{sort}(S) = 1$ ,  $\text{sort}(A) = \text{sort}(B) = 2$ , and

$$\begin{aligned} \rho_1: S &\rightarrow [x_1^1 x_2^1 x_1^2 x_2^2](A, B) & \mu(\rho_1) &= 1 \\ \rho_2: A &\rightarrow [ax_1^1, cx_1^2](A) & \mu(\rho_2) &= 1/2 \\ \rho_3: B &\rightarrow [bx_1^1, dx_1^2](B) & \mu(\rho_3) &= 1/3 \\ \rho_4: A &\rightarrow [\varepsilon, \varepsilon]() & \mu(\rho_4) &= 1/2 \\ \rho_5: B &\rightarrow [\varepsilon, \varepsilon]() & \mu(\rho_5) &= 2/3. \end{aligned}$$

We observe that  $\text{supp}(\llbracket G \rrbracket) = \{a^m b^n c^m d^n \mid m, n \in \mathbb{N}\}$  and for every  $m, n \in \mathbb{N}$  we have  $\llbracket G \rrbracket(a^m b^n c^m d^n) = \mu(\rho_1) \cdot (\mu(\rho_2))^m \cdot \mu(\rho_4) \cdot (\mu(\rho_3))^m \cdot \mu(\rho_5) = 1/(2^m \cdot 3^{n+1})$ . The only derivation of  $a^2 b c^2 d$  in  $G$  is shown in Fig. 1.  $\square$

**Non-deleting normal form** An ( $\mathcal{A}$ -weighted) MCFG is called *non-deleting* if the string function in every production is linear and non-deleting. Seki et al. (1991, Lem. 2.2) proved that for every  $k$ -MCFG there is an equivalent non-deleting  $k$ -MCFG. We generalise this to  $\mathcal{A}$ -weighted MCFGs.

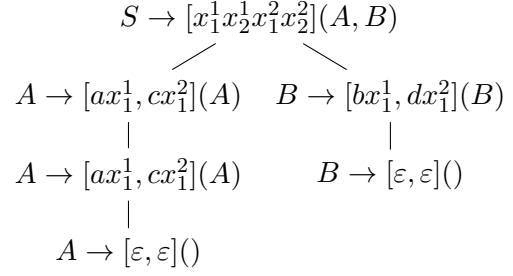


Figure 1: Only derivation of  $a^2 b c^2 d$  in  $G$  (Ex. 4).

**Lemma 5.** For every  $\mathcal{A}$ -weighted  $k$ -MCFG there is an equivalent non-deleting  $\mathcal{A}$ -weighted  $k$ -MCFG.

*Proof.* Let  $G = (N, \Delta, I, P, \mu)$ . When examining the proof of Seki et al. (1991, Lem. 2.2), we notice that only step 2 of Procedure 1 deals with non-deletion. We construct  $N'$  and  $P'$  from  $(N, \Delta, I, P)$  by step 2 of Procedure 1, but drop the restriction that  $\Psi \neq [\text{sort}(A)]$ .<sup>1</sup> Let  $g: P' \rightarrow P$  assign to every  $\rho' \in P'$  the production in  $G$  it has been constructed from. Furthermore, let  $I' = \{A[\emptyset] \mid A \in I\}$  and  $\mu' = \mu \circ g$ . Since the construction preserves the structure of derivations, we have for every  $w \in \Delta^*$  that  $g$  gives rise to a bijection  $\hat{g}$  between  $D_{G'}(w)$  and  $D_G(w)$  with  $\mu' = \mu \circ \hat{g}$ . Hence  $\llbracket G \rrbracket = \llbracket (N', \Delta, I', P', \mu') \rrbracket$ . The fan-out is not increased by this construction.  $\blacksquare$

### 3 Multiple Dyck languages

According to Kanazawa (2014, Sec. 1) there is no definition of multiple Dyck languages using congruence relations. We close this gap by giving such a definition (Def. 7).

#### 3.1 The definition

We recall the definition of multiple Dyck languages (Yoshinaka et al., 2010, Def. 1): Let  $\Delta$  be a finite  $\mathbb{N}$ -sorted set,<sup>2</sup>  $\overline{(\cdot)}$  be a bijection between  $\Delta$  and some alphabet  $\overline{\Delta}$ ,  $k = \max_{\delta \in \Delta} \text{sort}(\delta)$ , and  $r \geq k$ . The *multiple Dyck grammar with respect to  $\Delta$*  is the  $k$ -MCFG  $G_\Delta = (\{A_1, \dots, A_k\}, \widehat{\Delta}, \{A_1\}, P)$  where  $\widehat{\Delta} = \{\delta^{[i]}, \overline{\delta}^{[i]} \mid \delta \in \Delta, i \in [\text{sort}(\delta)]\}$ ,  $\text{sort}(A_i) = i$  for every  $i \in [k]$ , and  $P$  is the smallest set such that

- (i) for every linear non-deleting<sup>3</sup> terminal-free string function  $f \in (F_\Delta)_{(s_1 \dots s_\ell, s)}$  with  $\ell \in$

<sup>1</sup>This construction may therefore create productions of fan-out 0.

<sup>2</sup>In Yoshinaka et al. (2010),  $\mathbb{N}$ -sorted sets are called indexed sets and  $\text{sort}$  is denoted as  $\text{dim}$ .

<sup>3</sup>We add the restriction “non-deleting” in comparison to the original definition since in Yoshinaka et al. (2010, Proof of Lem. 1) only non-deleting rules are used.

- $[r], s_1, \dots, s_\ell, s \in [k]$  we have  $A_s \rightarrow f(A_{s_1}, \dots, A_{s_\ell}) \in P$ ,
- (ii) for every  $\delta \in \Delta$  with sort  $s$  we have  $A_s \rightarrow [\delta^{[1]}x_1^1\bar{\delta}^{[1]}, \dots, \delta^{[s]}x_1^s\bar{\delta}^{[s]}](A_s) \in P$ , and
- (iii) for every  $s \in [k]$  we have  $A_s \rightarrow [u_1, \dots, u_s](A_s) \in P$  where  $u_i \in \{x_i, x_i\delta^{[1]}\bar{\delta}^{[1]}, \delta^{[1]}\bar{\delta}^{[1]}x_i \mid \delta \in \Delta_1\}$  for every  $i \in [s]$ .

The *multiple Dyck language with respect to  $\Delta$* , denoted by  $mD(\Delta)$ , is  $L(G_\Delta)$ . We call  $\max_{\delta \in \Delta} \text{sort}(\delta)$  the *dimension of  $mD(\Delta)$* . The *set of multiple Dyck languages of dimension at most  $k$*  is denoted by  $k\text{-mDYCK}$ .

For the rest of this section let  $\Sigma$  be an alphabet. Also let  $\bar{\Sigma}$  be a set (disjoint from  $\Sigma$ ) and  $(\cdot)$  be a bijection between  $\Sigma$  and  $\bar{\Sigma}$ . Intuitively  $\Sigma$  and  $\bar{\Sigma}$  are sets of opening and closing parentheses and  $(\cdot)$  matches an opening to its closing parenthesis.

We define  $\equiv_\Sigma$  as the smallest congruence relation on the free monoid  $(\Sigma \cup \bar{\Sigma})^*$  where for every  $\sigma \in \Sigma$  the cancellation rule  $\sigma\bar{\sigma} \equiv_\Sigma \varepsilon$  holds. The *Dyck language with respect to  $\Sigma$* , denoted by  $D(\Sigma)$ , is  $[\varepsilon]_{\equiv_\Sigma}$ . The *set of Dyck languages* is denoted by  $\text{DYCK}$ .

**Example 6.** Let  $\Sigma = \{(\langle, [, \llbracket\}$ . We abbreviate  $\bar{(\langle}, \bar{[}$ , and  $\bar{\llbracket}$  by  $\rangle, \rangle, \rrbracket$ , respectively. Then we have for example  $\llbracket(\langle)\langle\rangle \equiv_\Sigma \llbracket\langle\rangle \equiv_\Sigma \llbracket \equiv_\Sigma \varepsilon$  and  $(\llbracket)\langle\rangle \equiv_\Sigma (\llbracket)\langle\rangle \equiv_\Sigma (\llbracket) \not\equiv_\Sigma \varepsilon$ .  $\square$

Let  $\mathfrak{P}$  be a partitioning of  $\Sigma$ . We define  $\equiv_{\Sigma, \mathfrak{P}}$  as the smallest congruence relation on the free monoid  $(\Sigma \cup \bar{\Sigma})^*$  such that if  $v_1 \cdots v_\ell \equiv_{\Sigma, \mathfrak{P}} \varepsilon$  with  $v_1, \dots, v_\ell \in D(\Sigma)$ , then the *cancellation rule*

$$u_0\sigma_1v_1\bar{\sigma}_1u_1 \cdots \sigma_\ell v_\ell \bar{\sigma}_\ell u_\ell \equiv_{\Sigma, \mathfrak{P}} u_0 \cdots u_\ell$$

holds for every  $\{\sigma_1, \dots, \sigma_\ell\} \in \mathfrak{P}$  and  $u_0, \dots, u_\ell \in D(\Sigma)$ . Intuitively, every element of  $\mathfrak{P}$  denotes a set of *linked* opening parentheses, i.e. parentheses that must be consumed simultaneously by  $\equiv_{\Sigma, \mathfrak{P}}$ .

**Definition 7.** The *congruence multiple Dyck language with respect to  $\Sigma$  and  $\mathfrak{P}$* , denoted by  $mD_c(\Sigma, \mathfrak{P})$ , is  $[\varepsilon]_{\equiv_{\Sigma, \mathfrak{P}}}$ .  $\square$

**Example 8.** Let  $\Sigma = \{(\langle, [, \llbracket\}$  and  $\mathfrak{P} = \{\mathfrak{p}_1, \mathfrak{p}_2\}$  where  $\mathfrak{p}_1 = \{(\langle, \langle\rangle\}$  and  $\mathfrak{p}_2 = \{[, \llbracket\}$ . We abbreviate  $\bar{(\langle}, \bar{[}$ , and  $\bar{\llbracket}$  by  $\rangle, \rangle, \rrbracket$ , respectively. Then we have for example  $\llbracket(\langle)\langle\rangle \equiv_{\Sigma, \mathfrak{P}} \varepsilon$  since  $\mathfrak{p}_2 = \{[, \llbracket\} \in \mathfrak{P}$ ,  $(\langle)\langle\rangle \equiv_{\Sigma, \mathfrak{P}} \varepsilon$ , and  $u_0 = u_1 = u_2 = \varepsilon$ . But  $\llbracket(\langle)\langle\rrbracket \not\equiv_{\Sigma, \mathfrak{P}} \varepsilon$  since when instantiating the cancellation rule with any of the two elements of  $\mathfrak{P}$ , we can not reduce  $\llbracket(\langle)\langle\rrbracket$ :

(i) If we choose  $\{\sigma_1, \sigma_2\} = \{[\langle, \llbracket\}$  then we would need to set  $u_1 = \langle$  and  $u_2 = \rangle$ , but they are not in  $D(\Sigma)$ , also  $(\langle) \not\equiv_{\Sigma, \mathfrak{P}} \varepsilon$ ;

(ii) If we choose  $\{\sigma_1, \sigma_2\} = \{(\langle, \langle\rangle\}$  then we would need to set  $u_0 = \llbracket$  and  $u_1 = \rrbracket$ , but they are not in  $D(\Sigma)$ , also  $\llbracket \not\equiv_{\Sigma, \mathfrak{P}} \varepsilon$ .

Hence  $\llbracket(\langle)\langle\rrbracket, (\langle)\langle\rangle \in mD_c(\Sigma, \mathfrak{P})$  and  $\llbracket(\langle)\langle\rrbracket \notin mD_c(\Sigma, \mathfrak{P})$ .  $\square$

**Observation 9.** From the definition of  $\equiv_{\Sigma, \mathfrak{P}}$  it is easy to see that for every  $u_1, \dots, u_k \in D(\Sigma)$  and  $v_1, \dots, v_\ell \in D(\Sigma)$  we have that  $u_1 \cdots u_k, v_1 \cdots v_\ell \in mD_c(\Sigma, \mathfrak{P})$  implies that every permutation of  $u_1, \dots, u_k, v_1, \dots, v_\ell$  is in  $mD_c(\Sigma, \mathfrak{P})$ .  $\blacksquare$

The *dimension of  $mD_c(\Sigma, \mathfrak{P})$*  is  $\max_{\mathfrak{p} \in \mathfrak{P}} |\mathfrak{p}|$ . The *set of congruence multiple Dyck languages (of at most dimension  $k$ )* is denoted by  $m\text{DYCK}_c$  ( $k\text{-mDYCK}_c$ , respectively).

Note that the dimension of  $\mathfrak{P}$  is 1 if and only if  $\mathfrak{P} = \{\{\sigma\} \mid \sigma \in \Sigma\}$ . In this situation we have  $\equiv_\Sigma = \equiv_{\Sigma, \mathfrak{P}}$  and therefore also  $D(\Sigma) = mD_c(\Sigma, \mathfrak{P})$ . Hence  $\text{DYCK} = 1\text{-mDYCK}_c$ .

**Proposition 10.**  $k\text{-mDYCK} \subseteq k\text{-mDYCK}_c$

*Idea of the proof.* We show the property  $(*)$  that implies our claim. The “ $\Rightarrow$ ” we prove by induction on the structure of derivations in  $G_\Delta$ . For “ $\Leftarrow$ ” we construct derivations in  $G_\Delta$  by induction on the number of applications of the cancellation rule.

*Proof.* Let  $mD \in k\text{-mDYCK}$ . Then there is an  $\mathbb{N}$ -sorted set  $\Delta$  such that  $mD = mD(\Delta)$  and  $k \geq \max_{\delta \in \Delta} \text{sort}(\delta)$ . We define  $\mathfrak{p}_\delta = \{\delta^{[i]} \mid i \in [\text{sort}(\delta)]\}$  for every  $\delta \in \Delta$ ,  $\Sigma = \bigcup_{\delta \in \Delta} \mathfrak{p}_\delta$ , and  $\mathfrak{P} = \{\mathfrak{p}_\delta \mid \delta \in \Delta\}$ . Clearly  $\max_{\mathfrak{p} \in \mathfrak{P}} |\mathfrak{p}| \leq k$ . Thus  $mD_c(\Sigma, \mathfrak{P}) \in k\text{-mDYCK}$ . Let  $\text{Tup}(G_\Delta, A)$  denote the set of tuples generated in  $G_\Delta$  when starting with non-terminal  $A$  where  $A$  is not necessarily initial. In the following we show that for every  $m \in [\max_{\delta \in \Delta} \text{sort}(\delta)]$  and  $w_1, \dots, w_m \in (\Sigma \cup \bar{\Sigma})^*$ :

$$\begin{aligned} (w_1, \dots, w_m) &\in \text{Tup}(G_\Delta, A_m) \\ \iff w_1 \cdots w_m &\in mD_c(\Sigma, \mathfrak{P}) \quad (*) \\ \wedge w_1, \dots, w_m &\in D(\Sigma) . \end{aligned}$$

We show the “ $\Rightarrow$ ” by induction on the structure of derivations in  $G_\Delta$ : From the definitions of  $\text{Tup}$  and  $G_\Delta$  we have that  $(w_1, \dots, w_m) \in \text{Tup}(G_\Delta, A_m)$  implies that there are a rule  $A_m \rightarrow f(A_{m_1}, \dots, A_{m_\ell})$  in  $G_\Delta$  and a tuple  $\vec{u}_i = (u_i^1, \dots, u_i^{m_i}) \in \text{Tup}(G_\Delta, A_{m_i})$  for every  $i \in [\ell]$

such that  $f(\vec{u}_1, \dots, \vec{u}_\ell) = (w_1, \dots, w_m)$ . By applying the induction hypothesis  $\ell$  times, we also have that  $u_1^1, \dots, u_1^{m_1}, \dots, u_\ell^1, \dots, u_\ell^{m_\ell} \in D(\Sigma)$  and  $u_1^1 \cdots u_1^{m_1}, \dots, u_\ell^1 \cdots u_\ell^{m_\ell} \in mD(\Sigma, \mathfrak{P})$ . We distinguish three cases (each corresponding to one type of rule in  $G_\Delta$ ):

- (i)  $f$  is linear, non-deleting, and terminal-free. Then we have for every  $i \in [m]$  that  $w_i \in \{u_1^1, \dots, u_1^{m_1}, \dots, u_\ell^1, \dots, u_\ell^{m_\ell}\}^*$  and therefore also  $w_i \in D(\Sigma)$ . Furthermore, by applying Obs. 9 ( $\ell - 1$ ) times, we have that  $w_1 \cdots w_m \in mD_c(\Sigma, \mathfrak{P})$ .
- (ii)  $f = [\delta^{[1]}x_1^1\bar{\delta}^{[1]}, \dots, \delta^{[m]}x_1^m\bar{\delta}^{[m]}]$ ; then  $\ell = 1$ ,  $m_1 = m$ , and for every  $i \in [m]$  we have  $w_i = \delta^{[i]}u_1^i\bar{\delta}^{[i]}$  and since  $u_1^i \in D(\Sigma)$  also  $w_i \in D(\Sigma)$ . Furthermore,  $w_1 \cdots w_m = \delta^{[1]}u_1^1\bar{\delta}^{[1]} \cdots \delta^{[m]}u_1^m\bar{\delta}^{[m]} \in mD_c(\Sigma, \mathfrak{P})$  due to the cancellation rule.
- (iii)  $f = [u_1, \dots, u_m]$  where for every  $i \in [m]$ :  $u_i \in \{x_i, x_i\delta^{[1]}\bar{\delta}^{[1]}, \delta^{[1]}\bar{\delta}^{[1]}x_i \mid \delta \in \Delta_1\}$ ; then  $\ell = 1$ ,  $m_1 = m$ , and  $w_i \in \{u_i^1, x_i^1\delta^{[1]}\bar{\delta}^{[1]}, \delta^{[1]}\bar{\delta}^{[1]}x_i^1 \mid \delta \in \Delta_1\}$ . Since  $\equiv_\Sigma$  is a congruence relation, we have that  $w_1, \dots, w_m \in D(\Sigma)$ . By applying Obs. 9  $m$  times, we have that  $w_1 \cdots w_m \in mD_c(\Sigma, \mathfrak{P})$ .

We show the “ $\Leftarrow$ ” by induction on the number of applications of the cancellation rule (including the number of applications to reduce the word  $v_1 \cdots v_\ell$  from the definition on the cancellation rule to  $\varepsilon$ ): If the cancellation rule is applied zero times in order to reduce  $w_1 \cdots w_m$  to  $\varepsilon$  then  $w_1 = \dots = w_m = \varepsilon$ . The rule  $A_m \rightarrow [\varepsilon, \dots, \varepsilon]()$  clearly derives  $(w_1, \dots, w_m)$ . If the cancellation rule is applied  $i + 1$  times in order to reduce  $w_1 \cdots w_m$  to  $\varepsilon$  then  $w_1 \cdots w_m$  has the form  $u_0\sigma_1v_1\bar{\sigma}_1u_1 \cdots \sigma_\ell v_\ell \bar{\sigma}_\ell u_\ell$  for some  $u_0, \dots, u_\ell \in D(\Sigma)$ ,  $v_1, \dots, v_\ell \in D(\Sigma)$ , and  $\{\sigma_1, \dots, \sigma_\ell\} \in \mathfrak{P}$  with  $v_1 \cdots v_\ell \equiv_{\Sigma, \mathfrak{P}} \varepsilon$ . Then we need to apply the cancellation rule at most  $i$  times to reduce  $v_1 \cdots v_\ell$  to  $\varepsilon$ , hence, by induction hypothesis, there is some  $d \in D_{G_\Delta}$  that derives  $(v_1, \dots, v_\ell)$ . We use an appropriate rule  $\rho$  of type (ii) such that  $\rho(d)$  derives  $(\sigma_1v_1\bar{\sigma}_1, \dots, \sigma_\ell v_\ell \bar{\sigma}_\ell)$ . Also, we need to apply the cancellation rule at most  $i$  times in order to reduce  $u_0 \cdots u_\ell$  to  $\varepsilon$ , hence, by induction hypothesis, there are derivations  $d_1, \dots, d_n$  that derive tuples containing exactly  $u_0, \dots, u_\ell$  as components. Then there is a rule  $\rho'$  such that  $\rho'(\rho(d), d_1, \dots, d_n) \in D_{G_\Delta}$  derives the tuple  $(w_1, \dots, w_m)$ .

From (\*) with  $m = 1$  and the fact “ $w_1 \in$

$mD_c(\Sigma, \mathfrak{P})$  implies  $w_1 \in D(\Sigma)$ ” we get that  $mD_c(\Sigma, \mathfrak{P}) = mD$ .  $\blacksquare$

**Lemma 11.**  $k$ -mDYCK $_c \subseteq k$ -MCFL

*Proof idea.* For any congruence multiple Dyck language we construct a multiple Dyck grammar that is equivalent up to a homomorphism. We then use the closure of  $k$ -MCFL under homomorphisms.

*Proof.* Let  $L \in k$ -mDYCK $_c$ . Then there are an alphabet  $\Sigma$  and a partitioning  $\mathfrak{P}$  of  $\Sigma$  such that  $mD_c(\Sigma, \mathfrak{P}) = L$ . Consider  $\mathfrak{P}$  as an  $\mathbb{N}$ -sorted set where the sort of an element is its cardinality. Then  $\hat{\Delta} = \{\mathfrak{p}^{[i]}, \bar{\mathfrak{p}}^{[i]} \mid \mathfrak{p} \in \mathfrak{P}, i \in [|\mathfrak{p}|]\}$ . For every  $\mathfrak{p} \in \mathfrak{P}$  assume some fixed enumeration of the elements of  $\mathfrak{p}$ . We define a bijection  $g: \hat{\Delta} \rightarrow \Sigma \cup \bar{\Sigma}$  such that every  $\mathfrak{p}^{[i]}$  (for some  $\mathfrak{p}$  and  $i$ ) is assigned the  $i$ -th element of  $\mathfrak{p}$  and  $g(\bar{\mathfrak{p}}^{[i]}) = \overline{g(\mathfrak{p}^{[i]})}$ . Then  $g(L(G_{\mathfrak{P}})) = L$ , where  $G_{\mathfrak{P}}$  is the multiple Dyck grammar with respect to  $\mathfrak{P}$ . Since  $k$ -MCFLs are closed under homomorphisms (Seki et al., 1991, Thm. 3.9),  $L \in k$ -MCFL.<sup>4</sup>  $\blacksquare$

**Observation 12.** Examining the definition of multiple Dyck grammars, we observe that some production in item (ii) has fan-out  $k$  for at least one  $\delta \in \Delta$ . Then, using Seki et al. (1991, Thm. 3.4), we have for every  $k \geq 1$  that  $(k + 1)$ -mDYCK $_c \setminus k$ -MCFL  $\neq \emptyset$ .  $\blacksquare$

**Proposition 13.**

$$1\text{-mDYCK}_c \subsetneq 2\text{-mDYCK}_c \subsetneq \dots$$

*Proof.* We get ‘ $\subsetneq$ ’ from the definition of  $k$ -mDYCK $_c$  and ‘ $\neq$ ’ from Obs. 12.  $\blacksquare$

### 3.2 Membership in a congruence multiple Dyck language

We provide a recursive algorithm (Alg. 1) to decide whether a word  $w$  is in a given congruence multiple Dyck language  $mD_c(\Sigma, \mathfrak{P})$ . This amounts to checking whether  $w \equiv_{\Sigma, \mathfrak{P}} \varepsilon$ , and it suffices to only apply the cancellation rule from left to right.

**Outline of Alg. 1** We check that  $w$  is in  $D(\Sigma)$ , e.g. with the context-free grammar in (7.6) in Salomaa (1973). If  $w$  is not in  $D(\Sigma)$ , it is also not in  $mD_c(\Sigma, \mathfrak{P})$  and we return 0. Otherwise, we split  $w$  into shortest strings  $u_1, \dots, u_\ell \in D(\Sigma) \setminus \{\varepsilon\}$  such that  $w = u_1 \cdots u_\ell$  (in line 5) with the function SPLIT. Then every  $u_i$  (for  $i \in [\ell]$ ) necessarily starts

<sup>4</sup>This construction shows that Def. 7 is equivalent to Def. 1 in Yoshinaka et al. (2010) modulo the application of  $g$ .

---

**Algorithm 1** Membership in  $mD_c(\Sigma, \mathfrak{P})$ 

---

**Input:**  $\Sigma, \mathfrak{P}$ , and  $w \in (\Sigma \cup \bar{\Sigma})^*$ **Output:** 1 if  $w \in mD_c(\Sigma, \mathfrak{P})$ , 0 otherwise

```
1: function MAIN( $\Sigma, \mathfrak{P}, w$ )
2:   if  $w \notin D(\Sigma)$  then
3:     return 0
4:   end if
5:    $(u_1, \dots, u_\ell) \leftarrow \text{SPLIT}(\Sigma, w)$ 
6:    $Rel \leftarrow \emptyset$ 
7:   for  $i \in [\ell]$  do
8:     let  $u'_i$  s.t.  $u_i = \sigma u'_i \bar{\sigma}$  for some  $\sigma \in \Sigma$ 
9:      $P \leftarrow \{p \in \text{dom}(Rel) \mid p \ni \sigma\}$ 
10:    if  $P \neq \emptyset$  then
11:       $p \leftarrow$  a minimal element of  $P$ 
12:       $\{I\} \leftarrow \{I' \mid (p, I') \in Rel\}$ 
13:       $Rel \leftarrow Rel \setminus \{(p, I)\}$ 
14:       $Rel \leftarrow Rel \cup \{(p \setminus \{\sigma\}, I \cup \{i\})\}$ 
15:    else
16:       $\{p\} \leftarrow \{p \in \mathfrak{P} \mid \sigma \in p\}$ 
17:       $Rel \leftarrow Rel \cup \{(p \setminus \{\sigma\}, \{i\})\}$ 
18:    end if
19:  end for
20:  if  $\bigcup_{(\emptyset, J) \in Rel} J \neq [\ell]$  then
21:    return 0
22:  end if
23:  for  $\{j_1, \dots, j_k\} \in \{J \mid (\emptyset, J) \in Rel\}$  do
24:    if MAIN( $\Sigma, \mathfrak{P}, u'_{j_1} u'_{j_2} \dots u'_{j_k}$ ) = 0 then
25:      return 0
26:    end if
27:  end for
28:  return 1
29: end function

30: function SPLIT( $\Sigma, w$ )
31:    $(u_1, \dots, u_\ell) \leftarrow$  sequence of shortest words
    $u_1, \dots, u_\ell \in D(\Sigma) \setminus \{\varepsilon\}$  with  $w = u_1 \dots u_\ell$ 
32:   return  $(u_1, \dots, u_\ell)$ 
33: end function
```

---

with some symbol  $\sigma \in \Sigma$  and ends with  $\bar{\sigma}$ ; we use this property on line 8. Note that SPLIT is bijective (the inverse function is concatenation). We therefore say that  $w$  and  $(u_1, \dots, u_\ell)$  correspond to each other, and for every operation on either of them there is a corresponding operation on the other. In particular the empty string corresponds to the empty tuple.

In lines 6–19 we find sets of indices  $\{i_1, \dots, i_k\}$  such that the set of first symbols of  $u_{i_1}, \dots, u_{i_k}$  has cardinality  $k$  and is an element of  $\mathfrak{P}$ . In order to do this, we use a relation  $Rel \subseteq \mathcal{P}(\Sigma) \times \mathcal{P}([\ell])$

Table 1: Run of Alg. 1 on the word  $[(\emptyset)][(\langle \rangle)]$ , cf. Exs. 8 and 14.

---

line	variable assignment
5:	$(u_1, \dots, u_\ell) = ([(\emptyset)], [(\langle \rangle)])$
18:	$i = 1 \quad Rel: (\{\}, \{1\})$
18:	$i = 2 \quad Rel: (\emptyset, \{1, 2\})$
23:	$u'_1 = (), u'_2 = \langle \rangle$
<hr/>	
5:	$(u_1, \dots, u_\ell) = ((), \langle \rangle)$
18:	$i = 1 \quad Rel: (\{\langle \rangle\}, \{1\})$
18:	$i = 2 \quad Rel: (\emptyset, \{1, 2\})$
23:	$u'_1 = \varepsilon, u'_2 = \varepsilon$
<hr/>	
5:	$(u_1, \dots, u_\ell) = ()$

---

that is initially empty (line 6). We modify  $Rel$  while traversing the list  $u_1, \dots, u_\ell$  from left to right. Intuitively every element  $(l, r) \in Rel$  stands for an element  $p \in \mathfrak{P}$  where there is some previous (with respect to the traversal of  $u_1, \dots, u_\ell$ )  $u_i$  that starts with some symbol  $\sigma \in p$ ;  $l$  is the set of elements of  $p$  we have *not* yet seen in some previous  $u_i$ ; and  $r$  is the set of indices  $i'$  such that some previous  $u_{i'}$  starts with an element of  $p$ .  $Rel$  is constructed in lines 7–19. Since in every iteration of the **for**-loop (lines 7–19) we add the current  $i$  to the set on the right side of some tuple in  $Rel$ , we have that  $\bigcup_{(p, J) \in Rel} J = [\ell]$ . Now since the left side of a tuple in  $Rel$  signifies the elements of  $p$  we have not yet seen, a tuple of the form  $(\emptyset, \{j_1, \dots, j_k\}) \in Rel$  means that we can reduce the outer parentheses of  $u_{j_1}, \dots, u_{j_k}$  with one step of the cancellation rule. In order to reduce the whole string  $w$ , every element of  $[\ell]$  has to appear in the right side of (exactly) one tuple of the form  $(\emptyset, J) \in Rel$ ; we check this property in line 20 and return 0 (line 21) if it is not satisfied. If it is satisfied, we remove the first and last symbol from all  $u_{j_1}, \dots, u_{j_k}$  (obtaining  $u'_{j_1}, \dots, u'_{j_k}$ ) where  $(\emptyset, \{j_1, \dots, j_k\}) \in Rel$  and call MAIN recursively with the string  $u'_{j_1} \dots u'_{j_k}$  (lines 23–27); this corresponds to the condition that  $v_1 \dots v_k \equiv_{\Sigma, \mathfrak{P}} \varepsilon$  in the definition of  $\equiv_{\Sigma, \mathfrak{P}}$ .

**Example 14** (Ex. 8 continued). Tab. 1 shows a run of Alg. 1 on the word  $[(\emptyset)][(\langle \rangle)]$  where we report a subset of the variable assignment whenever we reach the end of lines 5, 18, or 23. Different calls to MAIN are separated by horizontal lines.  $\square$

*Proof of termination for Alg. 1.* If  $w$  is not in  $D(\Sigma)$ , the algorithm terminates at line 3. If  $w$  is the empty string, then  $\ell = 0$ , therefore the index set of the **for**-loop on lines 7–19 is empty, the condition on line 20 is false, the index set of the **for**-loop on lines 23–27 is empty, there are no recursive calls to MAIN, and the algorithm terminates on line 28. If  $w$  is in  $D(\Sigma)$  and  $w \neq \varepsilon$  then there remain two possible situations:

- (i) There is some  $i \in [\ell]$  that does not occur in the right side of any tuple in  $Rel$ . Then the algorithm terminates on line 21.
- (ii) Every  $i \in [\ell]$  occurs in the right side of some tuple in  $Rel$ . Then the combined length of the third arguments of all recursive calls in the **for**-loop on lines 23–27 is strictly smaller than  $|w|$  since the outermost parentheses are removed from  $u_1, \dots, u_\ell$ . Since  $w$  has finitely many symbols, this process can only be repeated finitely often and the algorithm eventually terminates. ■

In light of the close link between Alg. 1 and the relation  $\equiv_{\Sigma, \mathfrak{N}}$  we omit the proof of correctness.

#### 4 CS theorem for weighted MCFLs

In this section we generalise the CS representation of (unweighted) MCFLs (Yoshinaka et al., 2010, Thm. 3) to the weighted case. We prove that an  $\mathcal{A}$ -weighted MCFL  $L$  can be decomposed into an  $\mathcal{A}$ -weighted alphabetic homomorphism  $h$ , a regular language  $R$  and a congruence multiple Dyck language  $mD_c$  such that  $L = h(R \cap mD_c)$ .

To show this, we use the proof idea from Droste and Vogler (2013). The outline of our proof is as follows:

- (i) We separate the weights from  $L$  (Lem. 15), obtaining an MCFL  $L'$  and a weighted alphabetic homomorphism.
- (ii) We use a corollary of the CS representation of (unweighted) MCFLs (Cor. 16) to obtain a CS representation of  $L'$ .
- (iii) Using the two previous points and an observation for the composition of weighted and unweighted alphabetic homomorphisms (Lem. 18), we obtain a CS representation of  $L$  (Thm. 19).

##### Lemma 15.

$$\text{k-MCFL}(\mathcal{A}) = \alpha\text{HOM}(\mathcal{A})(\text{k-MCFL})$$

*Proof.* ( $\subseteq$ ) Let  $L \in \text{k-MCFL}(\mathcal{A})$ . By Lem. 5 there is a non-deleting  $\mathcal{A}$ -weighted  $k$ -MCFG  $G = (N, \Delta, I, P, \mu)$  such that  $\llbracket G \rrbracket = L$ . We define a non-deleting  $k$ -MCFG  $G' = (N, \Delta', I, P')$  where  $\Delta' = \Delta \cup \{\rho^i \mid \rho \in P, i \in [\text{fan-out}(\rho)]\}$  and  $P'$  is the smallest set such that for every production  $\rho = A \rightarrow [u_1, \dots, u_s](A_1, \dots, A_m) \in P$  there is a production  $A \rightarrow [\rho^1 u_1, \dots, \rho^s u_s](A_1, \dots, A_m) \in P'$ . We define an  $\mathcal{A}$ -weighted alphabetic homomorphism  $h: (\Delta')^* \rightarrow \mathcal{A}^{\Delta^*}$  where  $h(\delta) = 1.\delta$  for every  $\delta \in \Delta$ ,  $h(\rho^1) = \mu(\rho).\varepsilon$  for every  $\rho \in P$ , and  $h(\rho^i) = 1.\varepsilon$  for every  $\rho \in P$  and  $i \in \{2, \dots, \text{fan-out}(\rho)\}$ . Since 1 is neutral in multiplication,  $\cdot$  is commutative, and  $G'$  is non-deleting, we have  $L = h(\llbracket G' \rrbracket)$ .

( $\supseteq$ ) Let  $L \in \text{k-MCFL}$  and  $h: \Gamma^* \rightarrow \mathcal{A}^{\Delta^*}$  an  $\mathcal{A}$ -weighted alphabetic homomorphism. By Seki et al. (1991, Lem. 2.2) there is a non-deleting  $k$ -MCFG  $G = (N, \Gamma, I, P)$  such that  $L(G) = L$ . We construct the  $\mathcal{A}$ -weighted  $k$ -MCFG  $G' = (N, \Delta, I, P', \mu)$  as follows: We extend  $h$  to  $h': (\Gamma \cup X)^* \rightarrow \mathcal{A}^{(\Delta \cup X)^*}$  where  $h'(x) = 1.x$  for every  $x \in X$  and  $h'(\gamma) = h(\gamma)$  for every  $\gamma \in \Gamma$ . We define  $P'$  as the smallest set such that for every  $\rho = A \rightarrow [u_1, \dots, u_s](A_1, \dots, A_m) \in P_{(s_1 \dots s_m, s)}$  and  $(u'_1, \dots, u'_s) \in \text{supp}(h'(u_1)) \times \dots \times \text{supp}(h'(u_s))$  we have that  $P'$  contains the production  $\rho' = A \rightarrow [u'_1, \dots, u'_s](A_1, \dots, A_m)$  and  $\mu(\rho') = h'(u_1)(u'_1) \cdot \dots \cdot h'(u_s)(u'_s)$ . Since  $\cdot$  is commutative and  $G$  non-deleting, we have that  $\llbracket G' \rrbracket = h(\llbracket G \rrbracket)$ .<sup>5</sup> ■

By setting  $k = 1$  in the above lemma we reobtain the equivalence of 1 and 3 in Thm. 2 of Droste and Vogler (2013) for complete commutative strong bimonoids.

The following is a corollary to Yoshinaka et al. (2010, Thm. 3) where the homomorphism is replaced by an alphabetic homomorphism and the multiple Dyck language is replaced by a congruence multiple Dyck language.

**Corollary 16.** Let  $L$  be a language and  $k \in \mathbb{N}$ . Then the following are equivalent:

- (i)  $L \in \text{k-MCFL}$
- (ii) there are an alphabetic homomorphism  $h$ , a regular language  $R$ , and a congruence multiple Dyck language  $mD_c$  of at most dimension  $k$  with  $L = h(R \cap mD_c)$ .

<sup>5</sup>The same two constructions also work to show that  $\text{k-MCFL}(\mathcal{A}) = \text{HOM}(\mathcal{A})(\text{k-MCFL})$ .

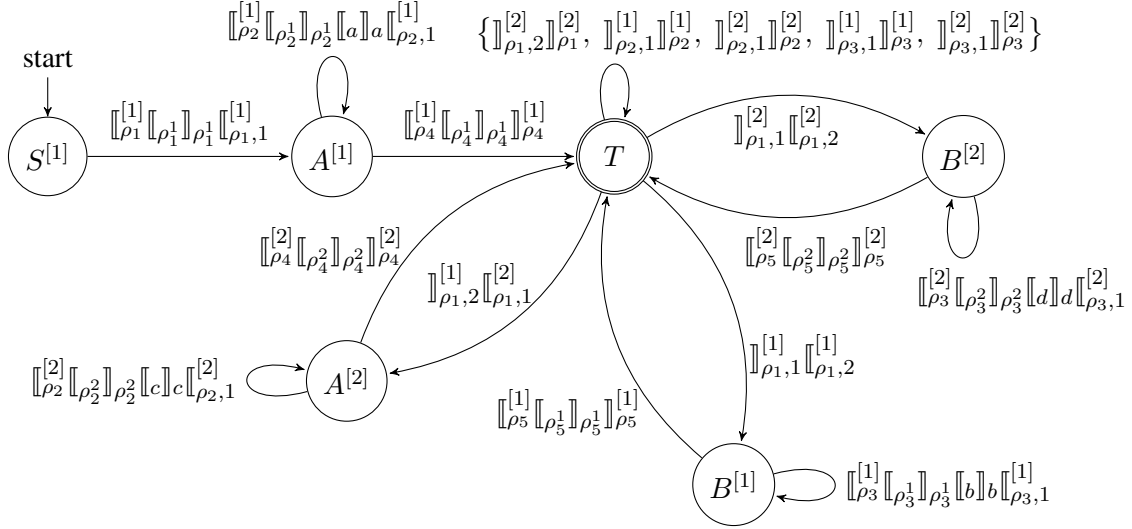


Figure 2: Automaton  $R$  obtained from  $G'$  (cf. Exs. 4 and 17) by Lem. 15 and Cor. 16.

*Proof.* The construction of  $h$  in Yoshinaka et al. (2010, Sec. 3.2) already satisfies the definition of an alphabetic homomorphism. We may use a congruence multiple Dyck language instead of a multiple Dyck language since, for (i)  $\Rightarrow$  (ii),  $k\text{-mDYCK} \subseteq k\text{-mDYCK}_c$  and, for (ii)  $\Rightarrow$  (i),  $k\text{-mDYCK}_c \subseteq k\text{-MCFL}$  and  $k\text{-MCFL}$  is closed under intersection with regular languages and under homomorphisms. ■

We give an example to show how Lem. 15 and Yoshinaka et al. (2010, Sec. 3.2) can be employed to construct a regular language for the CS representation of weighted MCFLs. The regular language is represented by an FSA.

**Example 17** (Ex. 4 continued). We construct an MCFG  $G'$  from  $G$  as described in the proof of ( $\subseteq$ ) in Lem. 15. Fig. 2 shows the FSA  $R$  obtained from  $G'$  by the construction in Yoshinaka et al. (2010, Sec. 3.2). An edge labelled with a set  $L$  of words denotes a set of transitions each reading a word in  $L$ . Note that the language of  $R$  is not finite. □

**Lemma 18.**

$$\alpha\text{HOM}(\mathcal{A}) \circ \alpha\text{HOM} = \alpha\text{HOM}(\mathcal{A})$$

*Proof.* ( $\subseteq$ ) Let  $h_1: \Delta^* \rightarrow \mathcal{A}^{\Gamma^*} \in \alpha\text{HOM}(\mathcal{A})$  and  $h_2: \Sigma^* \rightarrow \Delta^* \in \alpha\text{HOM}$ . By the definitions of  $\alpha\text{HOM}(\mathcal{A})$  and  $\alpha\text{HOM}$  there must exist  $h'_1: \Delta \rightarrow \mathcal{A}^{\Gamma \cup \{\varepsilon\}}$  and  $h'_2: \Sigma \rightarrow \Delta \cup \{\varepsilon\}$  such that  $\widehat{h'_1} = h_1$  and  $\widehat{h'_2} = h_2$ . Since  $h_1(\text{rng}(h'_2)) \subseteq \mathcal{A}^{\Gamma \cup \{\varepsilon\}}$  there is some  $h \in \alpha\text{HOM}(\mathcal{A})$  such that  $h = h_1 \circ h_2$ ; hence  $h_1 \circ h_2 \in \alpha\text{HOM}(\mathcal{A})$ .

( $\supseteq$ ) Follows from the fact that  $\alpha\text{HOM}$  contains the identity. ■

**Theorem 19.** Let  $L$  be an  $\mathcal{A}$ -weighted language and  $k \in \mathbb{N}$ . The following are equivalent:

- (i)  $L \in k\text{-MCFL}(\mathcal{A})$
- (ii) there are an  $\mathcal{A}$ -weighted alphabetic homomorphism  $h$ , a regular language  $R$ , and a congruence multiple Dyck language  $mD_c$  of dimension at most  $k$  with  $L = h(R \cap mD_c)$ .

*Proof.* For (i)  $\Rightarrow$  (ii): There are some  $L' \in k\text{-MCFL}$ ,  $h, h_1 \in \alpha\text{HOM}(\mathcal{A})$ ,  $h_2 \in \alpha\text{HOM}$ ,  $mD_c \in k\text{-mDYCK}_c$ , and  $R \in \text{REG}$  such that

$$\begin{aligned} L &= h_1(L') && \text{(by Lem. 15)} \\ &= h_1(h_2(R \cap mD_c)) && \text{(by Cor. 16)} \\ &= h(R \cap mD_c) && \text{(by Lem. 18)} \end{aligned}$$

For (ii)  $\Rightarrow$  (i): We use Lems. 11 and 15, and the closure of  $k\text{-MCFG}$  under intersection with regular languages and application of homomorphisms. ■

## 5 Conclusion and outlook

We defined multiple Dyck languages using congruence relations (Def. 7), gave an algorithm to decide whether a word is in a given multiple Dyck language (Alg. 1), and established that multiple Dyck languages with increasing maximal dimension form a hierarchy (Prop. 13).

We obtained a weighted version of the CS representation of MCFLs for complete commutative strong bimonoids (Thm. 19) by separating the weights from the weighted MCFG and using Yoshinaka et al. (2010, Thm. 3) for the unweighted part.

Thm. 19 may be used to develop a parsing algorithm for weighted multiple context-free grammars in the spirit of Hulden (2011).



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## A Supplemental definitions

Let  $G$  be an MCFG and  $A$  a non-terminal in  $G$ .

A *subderivation in  $G$*  is a derivation in the underlying context-free grammar of  $G$  that does not necessarily start with an initial non-terminal.

The *set of subderivations in  $G$  from  $A$* , denoted by  $D_G(A)$ , is the set of subderivations in  $G$  that start with the non-terminal  $A$ .

## B Supplementals to the proof of Lem. 5

**Observation 20.**  $\hat{g}$ , obtained by position-wise application of  $g$ , is a tree homomorphism. ■

**Claim 21.**  $\hat{g}$  is a bijection.

*Proof.* We show that  $\hat{g}$  is bijective by induction on the structure of subderivations:

*Induction hypothesis:* For every  $A \in N$  and  $\Psi \in M(A)$ :  $\hat{g}$  is a bijection between  $D_{G'}(A[\Psi])$  and  $D_G(A)$ .

*Induction step:* Let  $\Psi \in M(A)$  and  $d = \rho(d_1, \dots, d_k) \in D_G(A)$  with  $d_1 \in D_G(A_1), \dots, d_k \in D_G(A_k)$ . The construction defines  $\Psi_1 \in M(A_1), \dots, \Psi_k \in M(A_k)$  and a production  $\rho'$  which is unique for every  $\rho$  and  $\Psi$ . By the induction hypothesis, we know that there are derivations  $d'_1, \dots, d'_k$  which are unique for  $(d_1, \Psi_1), \dots, (d_k, \Psi_k)$ , respectively. Therefore,  $d' = \rho(d'_1, \dots, d'_k)$  is unique for  $d$  and  $\Psi$ . Hence for every  $\Psi$ :  $\hat{g}$  induces a bijection on  $D_{G'}(A[\Psi])$  and  $D_G(A)$ . By construction, all elements of  $I'$  have the form  $A[\emptyset]$  for some  $A \in I$ ; hence for every element of  $D'_G$  we set  $\Psi = \emptyset$  and by the induction hypothesis we obtain Cl. 21. ■

**Claim 22.**  $\mu' = \mu \circ \hat{g}$

*Proof.* Since  $\hat{g}$  is a tree homomorphism (cf. Obs. 20), it preserves the tree structure. By the definition of  $\mu'$  we obtain Cl. 22. ■

## C Supplementals to the proof of Lem. 11

**Claim 23.**  $g(L(G_{\mathfrak{F}})) = L$ .

*Proof.* Let  $\text{Tuple}_g(G_{\mathfrak{F}})$  be the set of tuples that are obtained by interpreting the terms corresponding to every subderivation in  $G_{\mathfrak{F}}$  and then applying  $g$  to every component. We show the following

equivalence by induction:

$$w \in mD(\mathfrak{F})$$

$$\iff \forall \ell \in \mathbb{N}, u_0, \dots, u_\ell, w_1, \dots, w_\ell \in D(\Sigma)$$

$$\text{with } w = u_0 w_1 u_1 \cdots w_\ell u_\ell:$$

$$(u_0, w_1, u_1, \dots, w_\ell, u_\ell) \in \text{Tuple}_g(G_{\mathfrak{F}}) \quad (\text{IH})$$

Note that in the following the indices in  $\mathfrak{p} = \{\sigma_1, \dots, \sigma_\ell\}$  are chosen such that for every  $i \in [\ell]$ :  $g(\mathfrak{p}^{[i]}) = \sigma_i$ . We derive

$$w \in mD(\mathfrak{F})$$

$$\iff \forall \ell \in \mathbb{N}, u_0, \dots, u_\ell, v_1, \dots, v_\ell \in D(\Sigma),$$

$$\mathfrak{p} = \{\sigma_1, \dots, \sigma_\ell\} \in \mathfrak{F} \text{ with}$$

$$w = u_0 \sigma_1 v_1 \bar{\sigma}_1 u_1 \cdots \sigma_\ell v_\ell \bar{\sigma}_\ell u_\ell:$$

$$u_0 v_1 u_1 \cdots v_\ell u_\ell \in mD(\mathfrak{F})$$

(by def. of  $mD(\mathfrak{F})$ )

$$\iff \forall \ell \in \mathbb{N}, u_0, \dots, u_\ell, v_1, \dots, v_\ell \in D(\Sigma),$$

$$\mathfrak{p} = \{\sigma_1, \dots, \sigma_\ell\} \in \mathfrak{F} \text{ with}$$

$$w = u_0 \sigma_1 v_1 \bar{\sigma}_1 u_1 \cdots \sigma_\ell v_\ell \bar{\sigma}_\ell u_\ell:$$

$$(u_0, v_1, u_1, \dots, v_\ell, u_\ell) \in \text{Tuple}_g(G_{\mathfrak{F}})$$

(by (IH))

$$\iff \forall \ell \in \mathbb{N}, u_0, \dots, u_\ell, v_1, \dots, v_\ell \in D(\Sigma),$$

$$\mathfrak{p} = \{\sigma_1, \dots, \sigma_\ell\} \in \mathfrak{F} \text{ with}$$

$$w = u_0 \sigma_1 v_1 \bar{\sigma}_1 u_1 \cdots \sigma_\ell v_\ell \bar{\sigma}_\ell u_\ell:$$

$$(u_0, \sigma_1 v_1 \bar{\sigma}_1, u_1, \dots, \sigma_\ell v_\ell \bar{\sigma}_\ell, u_\ell) \in \text{Tuple}_g(G_{\mathfrak{F}})$$

(by def. of  $G_{\mathfrak{F}}$ )

$$\iff \forall \ell \in \mathbb{N}, u_0, \dots, u_\ell, w_1, \dots, w_\ell \in D(\Sigma)$$

$$\text{with } w = u_0 w_1 u_1 \cdots w_\ell u_\ell:$$

$$(u_0, w_1, u_0, \dots, w_\ell, u_\ell) \in \text{Tuple}_g(G_{\mathfrak{F}})$$

(using permuting productions in  $G_{\mathfrak{F}}$ )

Cl. 23 follows by instantiating (IH) for  $\ell = 0$  and discovering that  $\{t \mid (t) \in \text{Tuple}_g(G_{\mathfrak{F}})\} = g(L(G_{\mathfrak{F}}))$ . ■

## D Supplementals to Alg. 1

Alg. 2 implements SPLIT from Alg. 1 (lines 30–33) in an explicit manner.

For this purpose we define a data structure *push-down* as a string over some alphabet and two functions with side-effects on pushdowns. Let  $\Gamma$  be an alphabet,  $\gamma \in \Gamma$ , and  $pd \subseteq \Gamma^*$  be a pushdown.

- $\text{pop}(pd)$  returns the left-most symbol of  $pd$  and removes it from  $pd$ .
- $\text{push}(pd, \gamma)$  prepends  $\gamma$  to  $pd$ .

Note that  $\text{pop}()$  is only a partial function, it is undefined for  $pd = \varepsilon$ . But since the input word  $w$  is in  $D(\Sigma)$ , the expression on line 8 is always defined.

---

**Algorithm 2** Algorithm to split a word in  $D(\Sigma)$  into shortest non-empty strings from  $D(\Sigma)$

---

**Input:** alphabet  $\Sigma$ ,  $w \in D(\Sigma)$

**Output:** sequence  $(u_1, \dots, u_\ell)$  of shortest words  $u_1, \dots, u_\ell \in D(\Sigma) \setminus \{\varepsilon\}$  with  $w = u_1 \cdots u_\ell$

```

1: function SPLIT'( $\Sigma, w$ )
2:    $pd \leftarrow \varepsilon$ 
3:    $j \leftarrow 1$ 
4:    $u_j \leftarrow \varepsilon$ 
5:   for  $0 \leq i \leq |w|$  do
6:      $u_j \leftarrow u_j w_i$ 
7:     if  $w_i \in \overline{\Sigma}$  then
8:        $\text{pop}(pd)$ 
9:       if  $pd = \varepsilon$  then
10:         $j \leftarrow j + 1$ 
11:         $u_j \leftarrow \varepsilon$ 
12:       end if
13:     else
14:        $\text{push}(pd, \overline{w}_i)$ 
15:     end if
16:   end for
17:   return  $(u_1, \dots, u_{j-1})$ 
18: end function

```

---

## E Supplementals to the proof of Lem. 15

**Claim 24.** There are bijections  $f: D_G \rightarrow D_{G'}$  and  $g: D_{G'} \rightarrow L(G')$ .

*Proof.* Let  $f$  be the function that is obtained by applying the construction position-wise to a derivation in  $D_G$ . The function  $f$  only inserts symbols into the functions in the productions; by removing these elements, we get the original function, hence  $f$  is bijective.

Let  $g: D_{G'} \rightarrow L(G')$  be the function that assigns for every derivation  $d \in D_{G'}$  the word in  $L(G')$  obtained by interpreting the term corresponding to  $d$ . For every  $w \in L(G')$  we can calculate the corresponding derivation (as a tree with domain  $\text{dom}(t)$  and labelling function  $t$ ) using Alg. 3, hence  $g$  is bijective. ■

**Claim 25.** For every  $d \in D_G$  and  $w \in \Delta^*$ :

$$(h \circ g \circ f)(d)(w) = \begin{cases} \mu(d) & \text{if } d \in D_G(w), \\ 0 & \text{otherwise.} \end{cases}$$

---

**Algorithm 3** Algorithm to calculate for every word in  $L(G')$  the corresponding derivation in  $D_{G'}$  (cf. Cl. 24)

---

**Input:**  $w \in (\Delta')^*$

**Output:**  $t: \mathbb{N}^* \rightarrow P$

```

1: procedure MAIN( $w \in (\Delta')^*$ )
2:   let  $t$  be the empty function
3:   DESCEND( $\varepsilon, 1$ )
4:   return  $t$ 
5: end procedure

6: procedure DESCEND( $\pi \in \mathbb{N}^*, j \in \mathbb{N}$ )
7:    $\rho^j u \leftarrow w$  where  $\rho \in P$  and  $u \in (\Delta')^*$ 
8:    $t(\pi) \leftarrow \rho$ 
9:    $w \leftarrow u$ 
10:   $A \rightarrow [u_1, \dots, u_s](A_1, \dots, A_k) \leftarrow \rho$ 
11:  for every symbol  $\delta'$  in  $u_j$  do
12:    if  $\delta' \in \Delta$  then
13:      remove  $\delta'$  from the beginning of  $w$ 
14:    else
15:       $x_i^{j'} \leftarrow \delta'$  for some  $i$  and  $j'$ 
16:      DESCEND( $\pi i, j'$ )
17:    end if
18:  end for
19: end procedure

```

---

*Proof.* Follows directly from the definitions of  $f$ ,  $g$ , and  $h$ . ■

**Claim 26.**  $\llbracket G \rrbracket = h(L(G'))$ .

*Proof.* For every  $w \in \Delta^*$ :

$$\begin{aligned}
L(w) &= \llbracket G \rrbracket(w) \\
&= \sum_{d \in D_G(w)} \mu(d) \\
&= \sum_{d \in D_G} (h \circ g \circ f)(d)(w) \quad (\text{by Cl. 25}) \\
&= \sum_{\substack{d \in D_G, u \in L(G') \\ u = (g \circ f)(d)}} h(u)(w) \\
&= \sum_{u \in L(G')} h(u)(w) \quad (\text{by Cl. 24}) \\
&= h(L(G'))(w) \quad \blacksquare
\end{aligned}$$