

Three Equivalent Codes for Autosegmental Representations

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Abstract

Autosegmental representations are typically bipartite graphs that describe how a tonal string aligns with a segmental string. Recently, a simple bracket-based encoding (Yli-Jyrä 2013) has enabled applying graph rewriting rules to a class of encoded bipartite graphs used in tonology. The resulting string transductions are compatible with finite state approaches to computational morphology. To manipulate a bigger class of graphs, the current work presents three new codes for them: (i) the first code is used to visualize the autosegmental representations, (ii) the second code generalizes the prior bracket-based linearization and (iii) the third code has bijections to the other two codes. Their code words correspond to *embracements* that are the smallest subgraphs from which the graphs can be concatenatively generated without adding new edges. These infinite codes demonstrate, finally, the existence of a compositional coding function (Kornai 1995) for the class of *inertial* autosegmental graphs where *the unlinked units do not float without the force of graph rewrites*.

1 Introduction

There are few other phonological phenomena as complex as the Bantu tone (Nurse and Philippson, 2003) that is exhibited by some 500 Bantu dialects spoken in Africa. Computational modeling of tone is crucial for speech technology of these and many other tonal languages because phonological tone contributes to lexical and grammatical disambiguation of speech, especially in noisy environments. But the inherent complexity of tone and the lack of data makes it difficult to extend *lexical transducers* (Beesley and Karttunen, 2003) needed in speech processing into *tonal lexical transducers* (Hurskainen, 2009; Muhirwe, 2010) without an adequately implemented theory of tone.

1.1 Autosegmental Phonology

Autosegmental Phonology (Goldsmith, 1976) is widely recognized as the most important phonological theory when it comes to description of tone. The theory has made a long-standing impact on phonology and speech technology by formalizing the ideas such as the *Obligatory Contour Principle (OCP)* that bans adjacent copies of units, the *independence of the melody* and the *decomposition of contour tones as level tones*. Its multi-tiered representation has crucially influenced more complex phonological theories such as Feature Geometry (Clements, 1985), Optimality Theory (Prince and Smolensky, 2004; McCarthy, 2011), Optimal Domains Theory (Cassimjee and Kisseberth, 1998) and Autosegmental-Metrical Theory (Ladd, 2008). We believe that a computational implementation of Autosegmental Phonology will have far-reaching consequences, paving the way for fuller implementation of theories that involve multiple feature tiers or a class of alignment structures beyond phonology.

Autosegmental Phonology states that a tone is not a feature inside a phonemic segment, but rather a segment in its own right – thus an *autosegment*. The phonological representation consists of aligned tiers of strings: the autosegmental tonemes lying in one tier are associated with segmental phonemes in the other tier. For example, one possible way to associate the tone string HLHLH with the vowel string VVVVV is¹:

$$\begin{array}{ccccc} \text{H} & \text{L} & \emptyset & \text{H L} & \text{H} \\ | & | & | & \vee & / \backslash \\ \text{V} & \emptyset & \text{V} & \text{V} & \text{V V V} \end{array} \quad (1)$$

The notion of autosegments formalizes the five important characteristics (Yip, 2002) of the tone-segment relationship during the derivation of the surface forms:

1. **“mobility”**: the alignment between tones and segments is not fixed by the lexicon, but can change during the derivation.

¹We naively assume that the *tone bearing units (TBUs)* in the segmental string are vowels (V), although they could be also moras (μ) or syllables (σ).

2. **“stability of tone”**: a tone can be temporarily unattached to a segment ($\emptyset = \text{none}$).
3. **“toneless segments”**: a segment can be temporarily unattached to a tone.
4. **“one-to-many”**: a tone can be associated with multiple segments.
5. **“many-to-one”**: many tones can be associated with a single segment.

1.2 Prior Computational Approaches

A few computational implementation approaches for autosegmental representation have been proposed. Firstly, there are interesting implementation efforts that have resulted into feature-rich systems such as the Delta programming language (Hertz, 1990), AMAR (Albro, 1994) and Tone Pars (Black, 1997). These systems are powerful but do not seem to be compatible with finite state transducers. Secondly, there are approaches that are based on finite automata and transducers or equivalent mechanisms.

The finite state approaches can be divided into two main categories depending on the role they assign to the OCP:

1. Strict/immediate approaches (Bird and Klein, 1990; Bird and Ellison, 1994; Bird, 1995; Carson-Berndsen, 1998; Jardine, 2013) hardwire the OCP principle into their mathematical formalization of autosegments. This approach is supported by Leben (1973).
2. Lenient/lazy approaches (Kornai, 1995; Wiebe, 1992; Yli-Jyrä, 2013) see that the OCP can sometimes be violated and must be actively maintained by the rules (Odden, 1986). This approach is supported by some existing theoretical work (Goldsmith, 1976; Odden, 1986; Prince and Smolensky, 2004) and field linguistic descriptions (Halme, 2004).

Both approaches have their merits. They are also based on finite state machines and can be emulated by one another. The strict formalization supports easier grammar inference because it focuses on the surface representation. In contrast, the lenient formalization of the OCP tolerates a wider range of rule systems. Therefore, the lenient OCP approach seems to us more relevant considering the possible needs during the practical construction of lexical transducers from existing derivational descriptions. The relevant contributions towards its implementation can be summarized as follows:

- Wiebe (1992) and Kornai (1995) model the alignment of tone via the moves of multi-tape automata and discuss the possibility of corresponding linear codes.

- Yli-Jyrä (2013) encodes and rewrites autosegmental representations via simple bracketed strings. This encoding is practically implemented and compatible with the standard technology for building lexical transducers.

1.3 The Features of Simple Bracketing

In the bracket-based encoding (Yli-Jyrä, 2013), the edge of each tone is indicated with a corresponding bracket. For example, the representation (1) reduces to string $[V] ()V[V] [VVV]$. When we replace symbols $() []$ with $\bar{H}\bar{H} \bar{L}\bar{L}$, respectively, this string reduces to $\bar{L}\bar{V}\bar{L} \bar{H}\bar{H} \bar{V}\bar{H}\bar{V}\bar{L} \bar{H}\bar{V}\bar{V}\bar{H}$.

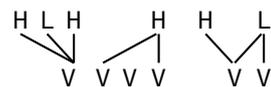
The bracket-based encoding has some known design features and limitations. According to the INERTIA property², the encoding distinguishes between the representations

$$\begin{array}{c} H \\ | \\ \emptyset \end{array} \quad \emptyset \quad \begin{array}{c} | \\ V \end{array} \quad \text{and} \quad \begin{array}{c} \emptyset \\ | \\ V \end{array} \quad \begin{array}{c} H \\ | \\ \emptyset \end{array} .$$

since the order of tone-segment associations and unlinked elements determine the linearization. By ordering the unlinked elements, especially under concatenation, INERTIA makes more distinctions than what is usually linguistically motivated. The resulting spurious ambiguity can, however, be eliminated by deterministic choices and rule conditions that match different choices. On the other hand, the additional structure due to INERTIA contributes towards the existence of a coding morphism.

Our attention is in the actual limitations of the encoding scheme:

- The encoding is not fully treated as a formal code that maps a (possibly infinite) set of component graphs into code words. Therefore, the negative result of the non-existence of an *optimal coding function* (Kornai, 1995) remains.
- The encoding does not capture some tone configurations of autosegmental bipartite graphs: complex contour tones, skipping of unlinked vertices and zigzag chaining:



- Associations and respective changes must be hand-encoded, while the original formalism (Goldsmith, 1976) is graphical and it indicates insertions ($:$) and removals ($\#$) intuitively by graphical signs:



²Introduced in Yli-Jyrä (2015).

1.4 The Results of This Paper

This paper focuses to linearization of two-tiered noncrossing representations, approaching the linearization problem via the coding theory (Berstel et al., 2010).

The first main result (Section 3) of this approach is that an **optimal coding function** (Kornai, 1995) for inertial autosegmental graphs exists under an appropriately defined *infinite set of generators*.

The second major result is that a *serial code with an optimal coding function can be visual* too (Section 4). Our **visual code** is easy to read and write, which is an important feature during system development.

The third major result is that the previously presented bracket encoding extends to all non-crossing link configurations via the **symmetric bracket code** that supports complex contours, skipping and chaining (Section 5).

Finally, we show that the visual code and the symmetric bracket code are related via bijections with a **Polish code** that is simple and efficient, but the least visual (Section 6). The visual and the Polish codes can be extended to represent simple rewriting rules.

2 Definitions

2.1 Autosegmental Graphs

Different axiomatizations for autosegmental graphs have been proposed since Goldsmith (1976). The tone-segment associations are formalized as a graph whose vertices are (auto)segments. The sets of vertices are ordered since they correspond to tonal and segmental strings. The associating edges between them are ordered due to the (NON-CROSSING CONSTRAINT, NCC). The NCC constraint is common assumption in autosegmental theory, although it has been contested in prosodic morphology (Bagemihl, 1989; McCarthy and Prince, 1996). A vertex without incident edges is called an *isolate*. The set of all isolates is ordered under the INERTIA property.

Definition 1. An autosegmental graph is

- a bipartite graph $G = (T \cup V, E, \leq_T, \leq_V, \leq_E)$ with vertices $T \cup V$ and undirected edges $E \subseteq T \times V$,
- with total order \leq_T for T and \leq_V for V ,
- with total order \leq_E of edges E satisfying $(v, v') \leq (u, u') \leftrightarrow v \leq u \wedge v' \leq u'$ for all $(v, v'), (u, u') \in E$.

If there is a total order \leq_I over $(T \cup V) - \{e \mid (e, f) \in E\}$, $G = (T \cup V, E, \leq_T, \leq_V, \leq_E, \leq_I)$ is an autosegmental graph with INERTIA.

Concatenation of disjoint a.s. graphs is defined by extending the \leq -relations in a natural way, by concatenating the ordered sets of tones, vowels, edges and isolates.

2.2 Coding Functions

Kornai (1995) formalized computational implementation of autosegmental graphs as the problem of finding a *coding function* β such that for any autosegmental graph G (called a *bistring* by Kornai), its image $\beta(G)$ is a string over a finite alphabet A .

There are infinitely many possible coding functions, but Kornai narrows the task with four properties characterizing an *optimal coding function* $\hat{\beta}$:

1. $\hat{\beta}$ is **computable** e.g. by a Turing Machine; ideally, it is computable with a finite state transducer.
2. $\hat{\beta}$ is **invertible** i.e. at least injective; ideally, this means that function $\hat{\beta}$ is even bijective.
3. $\hat{\beta}$ is **iconic** i.e. analogical rather than cryptic; ideally, a local change in G corresponds to a local change in $\hat{\beta}(G)$.
4. $\hat{\beta}$ is **compositional** i.e. it is a morphism that respects concatenation: $\hat{\beta}(GG') = \hat{\beta}(G)\hat{\beta}(G')$.³

It is impossible to construct an ideal coding function for autosegmental graphs in general (Kornai, 1995). The lack of compositionality holds even when these graphs are edgeless (Wiebe, 1992). This relates to the fact that the Cartesian product $A^* \times B^*$ is not equidivisible and thus not a free monoid (Sakarovitch, 2009).

2.3 Towards a Monoid Morphism

Although there is no compositional coding function for all autosegmental graphs (Wiebe, 1992), we will now exploit coding theory (Berstel et al., 2010) to find a class of autosegmental graphs for which a compositional coding function exists.

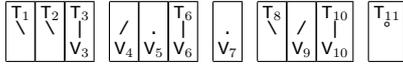
Let A be an alphabet. A subset $X \subseteq A^*$ is a *code* over A if every word $w \in X^*$ is written *uniquely* as a product of words in X . In other words, for $x_1, \dots, x_n, y_1, \dots, y_m \in X$, the condition $x_1 \dots x_n = y_1 \dots y_m$ implies $n = m$ and $x_i = y_i$ for all $1 \leq i \leq n$.

If the concatenation is defined over disjoint graphs and it does not add new edges, there must be a code element for every connected graph. Since the set of connected graphs is infinite, a block code is not possible. Instead, the code must be *infinite*. For example, let $S \subseteq A^+$ be a set of *semaphores*. The *maximal semaphore code* $X = A^*S - A^*SA^+$ contains words that end with a semaphore in S but

³Beware the terminology: β can be compositional without being *composable* (Berstel et al., 2010).

extracted in the obvious way by a finite number of scans through the string.

For example, the visual code string



gives rise to the autosegmental graph $G = (T \cup V, E, \leq_T, \leq_V, \leq_E, \leq_I)$ with

- the \leq_T -ordered set of tone vertices $T = \langle T_1, T_2, T_3, T_6, T_8, T_{10}, T_{11} \rangle$
- the \leq_V -ordered set of vowel vertices $V = \langle V_3, V_4, V_5, V_6, V_7, V_9, V_{10} \rangle$,
- the \leq_E -ordered set of edges $E = \langle (T_1, V_3), (T_2, V_3), (T_3, V_3), (T_6, V_4), (T_6, V_6), (T_8, V_9), (T_{10}, V_9), (T_{10}, V_{10}) \rangle$,
- the \leq_I -order over isolates: $V_5 < V_7 < T_{11}$. \square

Theorem 8. *There is a finite state bijection between the Polish code and the visual code.*

Proof. Figure 5 shows a finite state transducer mapping the language Y^* to language X^* . It is easy to verify that both the transducer and its inverse are functions. In addition, it is possible to verify mechanically that the preimage and the image of this function are exactly Y^* and X^* , respectively. Thus, it defines a bijection.

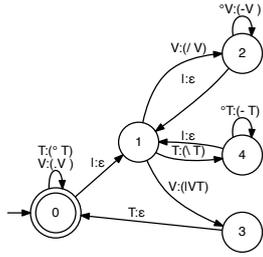


Figure 5: $Y^* \leftrightarrow X^*$

The transducer in Figure 5 is constructed with the 2-tape regular expression⁵:

$$\left\{ \begin{array}{l} T:(\setminus T) \circ T:(- T)^* |:\epsilon \\ \cup \\ V:(/ V) \circ V:(- V)^* |:\epsilon \\ \cup \\ T:(^ T) \cup V:(. V) \end{array} \right\}^* V:(|VT) T:\epsilon$$

\square

Theorem 9. *There is a finite state bijection between the Polish code and the symmetric bracket code.*

Proof. There is a finite state transducer (Figure 6) mapping strings Y^* to strings Z^* . The preimage and the image of this function are Y^* and Z^* , respectively. It is easy to verify that both the transducer and its inverse are total functions. Thus, it defines a bijection.

⁵The additional operators are: cross product (\circ), and composition (\circ)

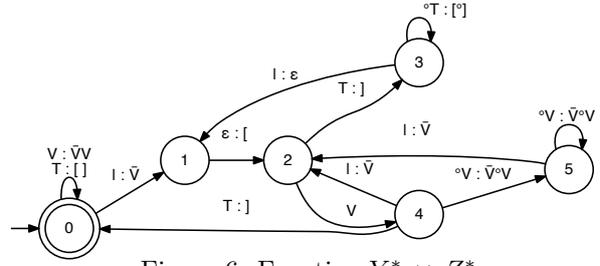


Figure 6: Function $Y^* \leftrightarrow Z^*$.

The transducer in Figure 6 is constructed by the 2-tape regular expression

$$Y^* \circ \left\{ \begin{array}{l} (B \setminus \{ | \cup T \cup \circ \}) \cup T:[] \cup \circ T:[^ \circ] \cup \circ V \\ \cup \\ | \{ \epsilon:[] \} \{ B \setminus T \}^* \{ T:[] \} \end{array} \right\}^* \\ \circ \left\{ \begin{array}{l} (B \setminus \{ | \cup V \}) \cup V:\bar{V}V \cup \circ V:\bar{V}^{\circ}V \\ \cup \\ \{ |:\bar{V} \} \{ B \setminus V \}^* \{ V:V \} \end{array} \right\}^* \\ \circ \left\{ (B \setminus |) \cup |:\epsilon \right\}^* .$$

that implements the following intuition: (1) start with Y^* ; (2) add tone brackets; (3) add vowel brackets; (4) remove the remaining $|$ -symbols. When these steps are applied to input string (3), we obtain the following derivation:

$$\begin{aligned} |T^{\circ}T|VT |V^{\circ}V|VT V |T|V|VT T \Rightarrow \\ |[] [^ \circ] | [V] | [V^{\circ}V|V] V | [] | [V|V] [] \Rightarrow \\ \bar{V}[] [^ \circ] | [V] \bar{V}[\bar{V}^{\circ}V\bar{V}] \bar{V} \bar{V} | [] | [\bar{V}\bar{V}] [] \Rightarrow \\ \bar{V}[] [^ \circ] | [V] \bar{V}[\bar{V}^{\circ}V\bar{V}] \bar{V} \bar{V} | [] | [\bar{V}\bar{V}] [] . \quad \square \end{aligned}$$

Corollary 2. *There is a finite state bijection between the symmetric bracket code and the visual code.*

Theorem 10. *The direct constructions of the symmetric bracket encoding and the Polish encoding from any autosegmental graph with INERTIA produces the same encoded strings as obtained indirectly via the visual code.*

Proof. Clearly the same set of vertices and edges are maintained by the alternative constructions. We only need to show that also their order relations are maintained regardless of the construction. For the lack of space, this proof is given only intuitively:

- The bijection $Y^* \leftrightarrow X^*$ implemented by transducer in Figure 5 retains the total order of tones, vowels, edges and isolates. The same order is respected by the construction given intuitively in Table 3.
- The bijection $Y^* \leftrightarrow Z^*$ implemented by transducer in Figure 6 retains the total order of tones, vowels, edges and isolates. The same order is respected by the construction given intuitively in Table 2.

Thus, the considered indirect encoding methods are equivalent to the direct ones that are now given only intuitively. \square

6 Concluding Remarks

6.1 Immediate Extensions

The current presentation has left out the discussion of the finer distinctions between the vertexes. This has kept the discussion focused on the essence of the unlabeled autosegmental graphs. The refined discussion on vertex labels and the possible OCP principle is, thus, postponed. Nevertheless, the code must be extended to meet various practical requirements. Fortunately, some extensions are immediate or relatively easy as they do not require redesign of the codes.

- **Adding More Vertex Types.** In more elaborated uses of autosegmental graphs, there are several tone types (such as H and L) and distinct vowels. In our approach, such distinctions can be encoded simply by expanding the alphabet with labeled vertexes. In the symmetric bracket code, we can follow the example of (Yli-Jyrä, 2013) and have different sets of brackets for different level tones although it is not necessary to have both sides of the brackets labeled.
- **Adding More Segments.** In addition to labeled T and V vertices, we often need to add other phonemic segments and lexical-morphological features as vertices into the graphs. The set of vowels V can be easily extended to contain all segmental phonemes and morphological features as isolated vertices. Such additional material increases the effect of INERTIA, but does not prevent migration of floating elements under appropriate rules.
- **Adding Metrical Structure.** We have naively assumed that vowels correspond to tone bearing units. However, there are languages where the TBUs are moras or syllables. Extensions for such descriptions are feasible but not elaborated in this paper.
- **Adding More Edge Types.** The rule formalism of autosegmental phonology is graphical and uses graphical clues (dotted lines and overstriking) to indicate how the rule rewrites an autosegmental representation. Typical rules can be visualized without separated input and output graphs using *fading-in* edges \cdot , \cdot' , \cdot' and *fading-out* edges \ddagger , \ddagger , \ddagger . Such edges could be used in the visual code as well as in the Polish code. For example, rules

$$\begin{array}{|c|} \hline \cdot \\ \hline \cdot \\ \hline \cdot \\ \hline \cdot \\ \hline \end{array} \begin{array}{|c|} \hline L \\ \hline | \\ \hline | \\ \hline | \\ \hline \end{array} \rightarrow \begin{array}{|c|} \hline / \\ \hline / \\ \hline / \\ \hline / \\ \hline \end{array} \begin{array}{|c|} \hline L \\ \hline | \\ \hline | \\ \hline | \\ \hline \end{array} \text{ and } \begin{array}{|c|} \hline / \\ \hline / \\ \hline / \\ \hline / \\ \hline \end{array} \begin{array}{|c|} \hline L \\ \hline | \\ \hline | \\ \hline | \\ \hline \end{array} \rightarrow \begin{array}{|c|} \hline \cdot \\ \hline \cdot \\ \hline \cdot \\ \hline \cdot \\ \hline \end{array} \begin{array}{|c|} \hline L \\ \hline | \\ \hline | \\ \hline | \\ \hline \end{array}$$

can be written, respectively, as

$$\begin{array}{|c|} \hline \cdot \\ \hline \cdot \\ \hline \cdot \\ \hline \cdot \\ \hline \end{array} \begin{array}{|c|} \hline L \\ \hline | \\ \hline | \\ \hline | \\ \hline \end{array} \text{ and } \begin{array}{|c|} \hline \ddagger \\ \hline \ddagger \\ \hline \ddagger \\ \hline \ddagger \\ \hline \end{array} \begin{array}{|c|} \hline L \\ \hline | \\ \hline | \\ \hline | \\ \hline \end{array}$$

6.2 Encoded Graph Rewriting

The encoded graphs are strings and they can be closed under such operations as union, concatenation, Kleene star, quotients, and difference. *Encoded graph rewriting* via string transducers seems to be a problem domain where many new results are still to be uncovered.

In Yli-Jyrä (2013; 2015), simpler autosegmental graphs are encoded and rewritten by string transducers, but the current work goes deeper in the code theoretic analysis of the actual encoding functions. Unfortunately, the space does not let us to include an updated discussion of graph rewriting via our new codes for autosegmental graphs.

6.3 The Conclusion of the Results

The current work links the coding theory to linearization of autosegmental graphs and obtains the following results:

- There is a compositional coding function for the infinitely generated set of autosegmental graphs (Thm. 1).
- Autosegmental graphs with INERTIA (and NCC) are totally ordered sets of *embracements* (Corollary 1).
- An embracement is a connected component with the \leq -embraced isolates.
- There are three infinite codes (Thm. 4-6) for the graphs generated by the embracements.
- The embracements are coded (and decoded) to and from the visual code in deterministic linear time (Thm. 7).
- There are finite state bijections between the codes (Thm. 8-9; Cor. 2).
- These bijections are not arbitrary, but preserve the structure of the graphs (Thm. 10).
- The iconicity of the prior bracket-based code Yli-Jyrä (2013; 2015) is expected to apply also to its current symmetric extension.
- Many practically important extensions to the codes are feasible although not yet elaborated.

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